



SOCIAL and
SUSTAINABLE CAPITAL

Important Legal Information

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UCIS/AIF Fund Disclaimer

The funds referred to herein are Unregulated Collective Investment Schemes (UCIS) also classified as Alternative Investment Funds (AIF) which are subject to the scope of the Alternative Investment Fund Managers Directive and the requirement for the funds themselves to be managed by an appropriately authorised entity. Social and Sustainable



Capital is authorised as a Small authorised AIFM and acts as the AIFM for the above Fund/s.

Small AIFMs and subsequently the AIF's managed by such entities are not subject to the same regulatory requirements as Full Scope AIFM's. UCIS are classified as higher risk investments and are therefore not suitable for all types of investor. All services mentioned are only intended for distribution to persons permitted to receive them by Section 238 of the Financial Services and Markets Act 2000 and are not available to members of the general public. The UCIS funds are subject to further participation restrictions contained within COBS 4.12 of the Financial Conduct Authority handbook. Investor Protections provided by the UK regulatory system do not apply to the Funds and Compensation under the UK's Financial Services Compensation Scheme will not be available and UK cancellation rights do not apply. Parties in any doubt about the information contained herein or unsure whether any Social and Sustainable Capital Funds are a suitable investment for them are strongly advised to consult an independent financial advisor.

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Certain Funds may only be marketed in certain jurisdictions. It is the responsibility of all Users to be informed and to observe all applicable laws and regulations of any relevant jurisdiction, and to satisfy themselves that their use of this information and any subsequent investment in the fund is permissible under the applicable laws, rules, and regulations of any applicable government, governmental agency, or regulatory organization where they reside.

Privacy Statement

By accessing the Social and Sustainable Capital Website you accept this Privacy Statement ("Statement").

This Statement applies to any information obtained by Social and Sustainable Capital through your use of the Website. It is not applicable to any Internet websites controlled by

third parties not affiliated with Social and Sustainable Capital that the Social and Sustainable Capital Website may link to ("Third Party Sites").

Social and Sustainable Capital reserves the right to alter or modify this Privacy Policy at its' own discretion without prior notice, therefore this Statement may be subject to change from time to time, thus it is advised that you consult it on a regular basis. Subsequent and continued use of the site after any changes to the Privacy Policy will be deemed as your acceptance with the revised terms of the policy itself.

How we may collect and store information from you?

Use of Cookies

To ensure that our Web site is well managed and to facilitate improved navigation within the Web site, we may use cookies (small text files stored in a user's browser) or Web beacons (electronic images to count visitors who have accessed a particular page) to collect aggregate data. We may use cookies to:

1. Manage our Web site by using Cookies to identify individual users when they log in to the Web site and enable us to personalise the Web site.
2. Track information on our systems and identify categories of users by items such as address, browser type and pages visited.
3. Analyse the number of visitors to different areas of the Web site and to make sure that our Web site is serving as a useful, effective information source.

What is to be considered when data is transferred over the Internet?

The internet is not typically considered as a secure environment, and therefore information sent can be accessed by unauthorised entities, potentially affecting the integrity of the communication itself.

Please note that Social and Sustainable Capital accepts no responsibility or liability for the security of your information whilst in transit over the Internet. In order to protect your privacy



we would like to remind you that you may choose another means of communication if you deem it appropriate.

Links to Other Web sites:

This Privacy Policy applies only to this Site. This Site may contain links to other Web sites not operated or controlled by Social and Sustainable Capital (“Third-Party Sites”). The policies and procedures we described here do not apply to the Third-Party Sites. The links from this Site do not imply that Social and Sustainable Capital endorses or has reviewed the Third-Party Sites. We suggest contacting those sites directly for information on their privacy policies.

Any links contained to third party sites (non Social and Sustainable Capital sites) are not under the control of Social and Sustainable Capital and Social and Sustainable Capital is not responsible for the contents of any linked site or any link contained in a linked site. Such links have been provided to you only as a convenience, and the inclusion of a link does not imply endorsement by Social and Sustainable Capital of the site, such sites are accessed at your own risk.

Anti-Bribery Policy

Social and Sustainable Capital LLP is committed to ensuring that it, its partners, employees, agents and anyone contracted to it comply with the requirements of the UK Bribery Act 2010.

Social and Sustainable Capital is committed to conducting its business fairly, honestly and openly, and has a zero- tolerance approach towards bribery and corruption.

Social and Sustainable Capital has implemented a risk-based approach to identify areas where it may be at risk to bribery and has put in place systems and procedures to reduce and manage this risk. It reserves the right not to deal with other entities that do not make the same commitment.



Social and Sustainable Capital has adopted and implemented a number of policies and procedures which are intended to prevent the Firm, its partners, staff or agents being involved in bribery. These are reviewed regularly to ensure they remain effective.

For further information on the Social and Sustainable Capital's bribery risk policies and procedures please contact the Compliance Officer, to whom the partners have given day-to-day responsibility for ensuring that the Firm's anti-bribery policies and procedures are adhered to.

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Social and Sustainable expressly draws users' attention to the fact that data are transferred via public networks such as the Internet or e-mail services, which can be accessed by anyone. LGT VP cannot guarantee the confidentiality of messages or documents sent via such public networks. Anyone sending personal information (such as senders' and recipients' names and addresses) via a public network should be aware that third parties may be able to view, store and use it without their permission. Third parties may thus be able to deduce existing business relationships. Even if the sender and recipient are in the same country, data transferred between them often pass through other countries, including countries that do not offer the same level of data protection as the country in question, without being subject to any controls.

Data may be lost or intercepted by unauthorized third parties during the transfer process. Social and Sustainable accepts no liability for the security of data transferred via the Internet or for direct or indirect data loss. For data protection and security reasons, users are advised to contact Social and Sustainable via other means of communication.

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